Water Exchange Rate Constant as a Biomarker of Treatment Efficacy in Patients With Brain Metastases Undergoing Stereotactic Radiosurgery

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Summary
Cells undergoing apoptosis experience an increased intracellular to extracellular water exchange rate. A water exchange quantification technique for clinical DCE-MRI was developed and applied to 19 brain metastases patients treated with stereotactic radiosurgery (SRS). The intracellular-extracellular water exchange rate constant ($k_{IE}$) differentiated ($P<.001$) tumors that had partial response from tumors with stable and progressive disease, and a high correlation ($R=−0.76$, $R^2=0.58$) was observed with the change in tumor volume between pretreatment and 1-month posttreatment scans.

Purpose: This study was designed to evaluate whether changes in metastatic brain tumors after stereotactic radiosurgery (SRS) can be seen with quantitative MRI early after treatment.

Methods and Materials: Using contrast-enhanced MRI, a 3-water-compartment tissue model consisting of intracellular (I), extracellular-extravascular (E), and vascular (V) compartments was used to assess the intracellular-extracellular water exchange rate constant ($k_{IE}$), efflux rate constant ($k_{ep}$), and water compartment volume fractions ($M_{0,I}, M_{0,E}, M_{0,V}$). In this prospective study, 19 patients were MRI-scanned before treatment and 1 week and 1 month after SRS. The change in model parameters between the pretreatment and 1-week posttreatment scans was correlated to the change in tumor volume between pretreatment and 1-month posttreatment scans.

Results: At 1 week $k_{IE}$ differentiated ($P<.001$) tumors that had partial response from tumors with stable and progressive disease, and a high correlation ($R=−0.76$, $R^2=0.58$) was observed with the change in tumor volume between pretreatment and 1-month posttreatment scans.

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identified partial response patients within 1 week after treatment and also predicted the extent of tumor shrinkage at 1 month. Thus, intra--extracellular water exchange rate is a promising biomarker of brain metastases response to SRS.

Introduction

Brain metastases have a significant impact on patient quality of life and survival. In the course of cancer illness up to 40% of patients develop brain metastases (1). Stereotactic radiosurgery (SRS) is a useful tool to treat brain metastases and has been found to improve patient outcomes, including survival, in patients with single metastases (2). Evaluation of tumor response to SRS is carried out using the Response Assessment in Neuro-Oncology-Brain Metastasis (RANO-BM) criteria (3) which relies on the changes in tumor size. However, it may take weeks or months before significant changes in tumor size take place. Moreover, early changes in tumor size do not always correlate with later outcomes (4), and very few studies have attempted (with limited success) to evaluate treatment response with quantitative MRI within a few days after treatment (5-7). Thus, more robust markers of response are desired that can quantify early molecular or cellular changes in the tumor, such as those seen in apoptosis (8, 9). Identifying nonresponders early after treatment and avoiding delays to salvage treatments may lead to better treatment outcomes.

Stereotactic radiosurgery induces DNA damage in tumor cells, which leads to programmed cell death (apoptosis). It has been shown in vitro (10) and in animal models (11) that cellular apoptosis can be detected by MRI (within 48 hours after treatment) through quantification of the water exchange rate constant between intracellular and extracellular compartments, $k_{IE}$ (10-12). The water exchange rate increases in apoptotic cells owing to the increased surface-to-volume ratio of the cell either by transformation of the cell into a less spherical shape or decreased volume overall (10, 13) and, to lesser extent, owing to increased cellular membrane permeability to water molecules (10).

There exist several techniques to measure the water exchange rate constant between intracellular and extracellular compartments (11, 12, 14-17). Landis et al (18) and, more recently, Bailey et al (11) applied a 2-compartment tissue relaxation model to contrast-enhanced MRI with multiple injections of contrast agent (CA), to calculate water exchange rate. Multiple injections of CA make these techniques time-consuming and difficult to translate into clinic. Other approaches that can be more easily applied to the in vivo dynamic contrast-enhanced (DCE)-MRI have also been proposed. Yankeelov et al (17, 19) and Springer et al (14) use a 2-compartmental model of water exchange between the intracellular and extracellular compartments and incorporate a tracer kinetic model (Tofts-Kety model [20]) into the apparent longitudinal relaxation rate constant’s measurement (while ignoring the contribution of the tumor’s vascular compartment).

In the present study we use a modified approach for evaluating the intracellular to extracellular—extravascular water exchange rate constant from clinical DCE-MRI data using a combination of a 3 water compartment tissue model and a vascular signal separation technique called independent component analysis (ICA). The ICA-based separation (21-24) provides the MRI signal of vascular and extracellular—extravascular compartments using the standard, clinically used DCE-MRI datasets and yields sufficient signal measurement to fit to the water exchange model.

The focus of this study was to evaluate this novel imaging approach as an early biomarker of tumor response to SRS. We hypothesized that shortly after SRS, the intra—extracellular water exchange rate constant, which is a surrogate of tumor apoptosis, can differentiate between responders and nonresponders.

Methods and Materials

Three-pool relaxation model

Each voxel in DCE-MRI was assumed to be composed of 3 water compartments: vascular (V), extracellular—extravascular (E), and intracellular (I), and a 3-water-compartment relaxation model (Fig. 1) was used. Each compartment in a voxel was assumed to contain a fraction of the voxel’s total water content proportional to its volume fraction ($M_{0,V}, M_{0,E}, M_{0,I}$). Water was assumed to transfer from the intracellular, I, to extracellular—extravascular compartment, E, with exchange rate constant, $k_{IE}$. The water exchange between vascular, V, and extracellular—extravascular, E, compartments was assumed to be negligible ($k_{VE} = k_{EV} = 0$). Detailed description of the
The relationship between the measured MRI signal, $S$, and the 3-pool model parameters can be derived from Bloch-McConnell equations (25) and for a spoiled gradient echo sequence is given by:

$$S = S_0 \sin(f_{ac}(\alpha))(M_{0,A} \frac{1 - e^{-TR R_{1,A}}}{1 - \cos(f_{ac}(\alpha))e^{-TR R_{1,I}}} + M_{0,B} \frac{1 - e^{-TR R_{1,B}}}{1 - \cos(f_{ac}(\alpha))e^{-TR R_{1,B}}} + M_{0,V} \frac{1 - e^{-TR R_{1,V}}}{1 - \cos(f_{ac}(\alpha))e^{-TR R_{1,V}}})$$

(1)

where $S_0$ is the equilibrium MRI signal, $\alpha$ is flip angle, $f_{ac}$ is a correction factor for $B_1$ inhomogeneities, $TR$ is repetition time, $R_{1,I}$ is the longitudinal relaxation rate of vascular compartment, the 2 apparent coefficients $M_{0,A}$ and $M_{0,B}$ are apparent volume fraction coefficients, and $R_{1,I}$ and $R_{1,A}$ are apparent rate constants (details defined in Supplementary-A; available online at www.redjournal.org).

Calculating the model parameters using Equation 1 requires knowing the CA concentration in the vascular and extravascular–extravascular compartments (assuming intracellular CA concentration is zero). A data-driven technique that is hereby called adaptive kernel independent component analysis (AK-ICA) was used to separate the MRI signal enhancement in the vascular and extravascular–extravascular compartments (21-24) (details of the AK-ICA technique are provided in Supplementary-B; available online at www.redjournal.org). Using AK-ICA to separate the signal enhancement in the vascular space enables obtaining all required information locally from the DCE-MRI of the tumor. Thus, arterial input function (AIF) calculation and its associated problems (ie, issues in the AIF measurement, bolus dispersion between the AIF measurement site and tumor, and difference between arrival time of the CA in the tumor and the AIF measurement site) are avoided (11, 16, 17, 19).

Figure 2 shows a sample DCE-MRI image of a patient with brain metastasis that was used in this study, as well as the rectangular region of interest (ROI) around the tumor (green rectangle) that was selected for AK-ICA analysis. The CA concentration in the extravascular–extravascular compartment was related to the vascular CA concentration with (extended Tofts-Kety model [26]):

$$[Gd]_E(t) = k_{ep} \int_0^t [Gd]_p(t) \exp(-k_{ep}(t-\tau)) d\tau$$

(2)

where $[Gd]_p = [Gd]/Htc$. $[Gd]_p$, $[Gd]_V$, and $[Gd]_E$ are the plasma, vascular, and extravascular–extravascular CA concentrations, respectively, $Htc$ is the hematocrit level (assumed to be 0.42), and $k_{ep}$ is the efflux rate constant ($k_{ep} = K^{trans}/M_{0,E}$, where $K^{trans}$ is the volume transfer rate constant).

**Model fitting**

The longitudinal relaxation rate constants of vascular and extravascular–extravascular compartments in the presence of CA were assumed to be:

$$R_{1,V} = R_{10,V} + r_1[Gd]_V$$

$$R_{1,E} = R_{10,E} + r_1[Gd]_E$$

(3)

where $r_1 = 4.5 \text{mM}^{-1}s^{-1}$ at 3T for gadobutrol (27) and $R_{10,X}$ was the precontrast longitudinal relaxation rate constant of each compartment. $R_{10,V}$ was assumed to be equal to 0.61 $s^{-1}$ (at 3T and with $Hct = 0.42$) (28). It was also assumed that the precontrast longitudinal relaxation rates of the extravascular–extravascular and intracellular compartments were equal ($R_{10,E} = R_{10,I}$) and these compartments were in fast exchange limit with vascular compartment before contrast administration (12, 29). Thus, $R_{10,E}$ was related to the precontrast longitudinal relaxation rate constant of the entire voxel ($R_{10}$) with:

$$R_{10,E} = R_{10,I} \frac{R_{10} - M_{0,V} R_{10,V}}{1 - M_{0,V}}$$

(4)

The relaxation model therefore had 4 independent parameters ($M_{0,V}, M_{0,E}, k_{IE}, k_{ep}$) for each voxel, which were calculated by fitting the relaxation model to the measured signal values through least squared difference minimization, as follows:

$$\Gamma(\theta) = \min \left\{ \left\| \frac{S(t, \theta)}{S(0, \theta)} - \frac{\tilde{S}(t)}{\tilde{S}(0)} \right\|^2 \right\}$$

s.t. $M_{0,V} + M_{0,E} < 1$ & 0 $\leq M_{0,V}, M_{0,E} \leq 1$ & 0 $\leq t \leq T$

(5)

where $\theta = [M_{0,V}, M_{0,E}, k_{IE}, k_{ep}]$, and $T$ was the duration of DCE-MRI scan, $S$ was the theoretical signal intensity calculated using Equation 1, and $\tilde{S}$ was the measured DCE-
MRI signal intensity of the voxel. Chi-squared goodness of fit was evaluated using the chi2gof function in MATLAB (MathWorks, Natick, MA) and 5% significance level, and the voxels that failed this test were excluded from analysis.

Patient population

A total of 29 patients with metastatic brain tumors were recruited to investigate the predictive power of MRI in early assessment (1 week after treatment) of tumor response to SRS. Informed consent was obtained from all patients, and the study was conducted after research ethics board approval. Stereotactic radiosurgery involved delivering a single dose of 18 to 20 Gy radiation focally to the tumor using a linear accelerator—based SRS system. In this prospective study the patients were scanned at 3 time points: (1) before treatment (within 48 hours); (2) 3 to 10 days (“1 week”) after treatment; and (3) 1 month after treatment. After the 1-month MRI scan all patients were followed with clinical MRI indefinitely to determine the treatment response and patient outcome.

Eight patients did not participate in the “1-week” follow-up scan and were excluded. One patient was excluded owing to the large motion during DCE-MRI acquisition, and 1 patient was excluded owing to difficulties in IV injection. Thus, DCE-MRI of 19 patients (1 tumor randomly selected for each patient) was analyzed in the study (clinical details listed in Table 1).

MRI acquisition

The patients were scanned on a 3T Philips Achieva MRI system (Best, the Netherlands) with 8-channel SENSE head coil with the following MRI sequences: DCE-MRI: sagittal, 3-dimensional (3D) fast field echo (FFE) with repetition time (TR)/echo time (TE) = 4 milliseconds/2.02 milliseconds, $\alpha = 15^\circ$, field of view (FOV) = 25.6 cm × 25.6 cm, matrix = 256 × 256 × 20, slice thickness = 8 mm, temporal resolution = 5.2 seconds, number of time points = 60. A bolus of CA (Gadobutrol; Bayer, Toronto, Canada) was injected intravenously (0.1 mmol/kg of body weight followed by saline flush). The bolus injection was performed after acquisition of the third dynamic volume in the DCE-MRI sequence (approximately 15 seconds after the start of DCE-MRI acquisition).

The method of Slopes (MoS) was used for $B_1$ and $R_1$ mapping (30, 31) before administration of CA. The MoS imaging involved low spatial resolution images with large flip angles (FFE, $\alpha = 130^\circ, 150^\circ$, TR/TE = 50 milliseconds/
5 milliseconds, FOV = 25.6 cm × 25.6 cm, matrix = 64 × 64 × 40, slice thickness = 4 mm) followed by high spatial resolution images with small flip angles (FFE, \( \alpha = 3^\circ,14^\circ \), TR/TE = 10.7 milliseconds/5 milliseconds, FOV = 25.6 cm × 25.6 cm, matrix = 256 × 256 × 80, slice thickness = 2 mm). The large flip angle images were used for \( R_1 \) mapping. Then the low flip angle images and the \( B_1 \) map were used for \( R_1 \) mapping.

Postcontrast 3D axial \( T_1 \)-weighted imaging was also performed (TR/TE = 9.5 milliseconds/2.3 milliseconds, \( \alpha = 8^\circ \), FOV = 22 cm × 22 cm, matrix = 448 × 448 × 113, slice thickness = 1.5 mm) for tumor volume assessment. Dynamic contrast-enhanced MRI and axial \( T_1 \)-weighted MRI were the last 2 sequences in the protocol, in which DCE-MRI was 5.2 minutes and 3D axial \( T_1 \)-weighted MRI was approximately 7 minutes.

Data analysis

Tumor ROI on DCE-MRI was determined by subtracting the first image in the DCE-MRI series from the last image in the DCE-MRI series and then contouring the enhancing region. Model parameters were calculated for each voxel in this ROI. The average parameter value over ROI was then used in the figures and tables. The Wilcoxon rank sum test was used to determine statistical significance of the differences between parameter distributions before and 1 week after treatment. For each plot the Pearson correlation coefficient between the horizontal and vertical axes as well as the statistical significance of this correlation coefficient (calculated using a 2-tailed t test) were reported.

Tumor volume was determined by manually contouring the enhancing region on the high-resolution postcontrast \( T_1 \)-weighted images. Tumor response was evaluated using RANO-BM (3) criteria. Among 19 patients, on the basis of tumor size measurements at 1 month after SRS, there were 12 patients with partial response (PR), 3 patients with stable disease (SD), and 4 patients with progressive disease (PD). The clinical outcomes of the patients were determined by considering the long-term follow-up of the patients (longer than 3 months) by an expert oncologist who was blinded to the analysis and results.

Results

The 3-pool relaxation model was applied to the pretreatment and 1-week posttreatment scans of all 19 patients, and the model parameters were calculated. Incorporation of the water exchange rate constant in the model equations added 1 extra parameter to the conventional tracer-kinetic models that ignore the water exchange rate constant. Adding the extra parameter to the model was warranted by \( F \) test and provided more accurate fit to the data and smaller residual and \( \chi^2 \) values. Figure 3 shows the parametric maps of all 4 model parameters \( (k_{IE}, k_{ep}, M_0,M_{0,E}) \) for the case shown in Figure 2.

For each group of patients (PR, SD, PD), Table 2 reports the mean and standard deviation of change in each model parameter.
parameter (between pretreatment and 1 week after treatment scans), as well as tumor volume change (at 1-week and 1-month posttreatment scans). It also shows the statistical significance (via \( P \) value) of each parameter separating the PR group from the rest (SD + PD). Among model parameters, the intracellular–extracellular water exchange rate constant, \( k_{IE} \) [s\(^{-1}\)], and extracellular–extravascular compartment volume fraction, \( M_{0,E} \), separated the PR patients with statistically significant differences.

Figure 4 presents the change in each model parameter (between 1-week posttreatment and pretreatment scans) plotted versus the relative change in tumor volume (between 1-month posttreatment and pretreatment scans). These plots show high correlations for intracellular–extracellular water exchange rate constant, \( k_{IE} \) (\( R = -0.76, P < .001 \)), and extracellular–extravascular volume fraction, \( M_{0,E} \) (\( R = -0.62, P = .005 \)). However, for efflux rate constant, \( k_{ep} \) (\( R = -0.09, P = .72 \)), and vascular compartment volume

### Table 2: Average and standard deviation of change in parameters between pretreatment and 1-week posttreatment scans and tumor volume change at 1 week and 1 month of patients in each cohort

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Partial response (PR)</th>
<th>Stable disease (SD)</th>
<th>Progressive disease (PD)</th>
<th>( P ) (PR vs SD + PD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. of patients</td>
<td>12</td>
<td>3</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>( \Delta )Volume (cm(^3)) (1 mo)</td>
<td>(-1.2 \pm 0.9)</td>
<td>(-0.3 \pm 0.1)</td>
<td>(0.5 \pm 0.5)</td>
<td>( .001 )</td>
</tr>
<tr>
<td>( \Delta )Volume (cm(^3)) (1 wk)</td>
<td>(0.3 \pm 1.3)</td>
<td>(0.2 \pm 0.2)</td>
<td>(0.2 \pm 0.3)</td>
<td>(.4)</td>
</tr>
<tr>
<td>( \Delta k_{IE} ) (s(^{-1}))</td>
<td>(3.7 \pm 2.9)</td>
<td>(-2.8 \pm 1.2)</td>
<td>(-2.3 \pm 1.1)</td>
<td>(&lt;.001)</td>
</tr>
<tr>
<td>( \Delta M_{0,E} ) (%)</td>
<td>(11 \pm 17)</td>
<td>(-6 \pm 5)</td>
<td>(-9 \pm 12)</td>
<td>(.01)</td>
</tr>
<tr>
<td>( \Delta M_{0,V} ) (%)</td>
<td>(-0.7 \pm 5.6)</td>
<td>(-0.7 \pm 3.2)</td>
<td>(0.3 \pm 4.4)</td>
<td>(.9)</td>
</tr>
<tr>
<td>( \Delta k_{ep} ) (min(^{-1}))</td>
<td>(0.07 \pm 0.63)</td>
<td>(-0.37 \pm 0.41)</td>
<td>(0.0 \pm 0.66)</td>
<td>(.7)</td>
</tr>
</tbody>
</table>

Bold indicates \( P < .05 \) that is considered statistically significant.
fraction, $M_{0,V}(R = -0.15, P = .53)$, there was no correlation, and thus these parameters were unable to separate the 3 patient groups.

Moreover, relative change in tumor volume at 1 week ($R = 0.01, P = .9$), and change in longitudinal relaxation rate, $R_{10}$, of the tumor ($R = -0.39, P = .089$) did not correlate with tumor volume change at 1 month (not shown).

**Discussion**

The objective of this prospective study was to establish whether quantitative MRI can evaluate the response of brain metastases to SRS within the first week after treatment (as compared with clinical evaluation by tumor volume measurement at later time points). Differentiation of responders from nonresponders early after the treatment is useful in clinical practice. Nonresponders can be considered for salvage treatments, such as surgery or reirradiation, earlier and avoid morbidity associated with delayed identification of PD. Moreover, correlating early changes in MRI parameters to tumor volume change at 1 month provides additional insight into disease progression and could potentially be useful in managing patients.

In this study the 1-month time point was chosen for response assessment because it commonly is the first time point after treatment to assess response. It has also been shown to be strongly associated with long-term control of the brain metastasis (32). Moreover, a blinded oncologist evaluated the long-term response of the patients in this study. The evaluation was performed by assessing the pretreatment tumor size and its size at all available follow-up scans (longer than 3 months after treatment). This long-term response evaluation was feasible for 17 of 19 cases (no long-term follow-up was available for 2 cases). In all but 1 case the long-term follow-up confirmed the assessment at 1 month, and only 1 case that was classified as having PR showed progression at follow-up MRI 6 months after treatment.

Tumor volume at 1 week after treatment increased in 6 of 12 PR patients, in all SD patients, and in 3 of 4 PD patients. There was no statistically significant difference...
between tumor volume change at 1 week for different patient groups (Table 2), and there was no correlation between tumor volume change at 1 week and at 1 month after treatment \( (R = 0.01, P = .9) \), demonstrating that this parameter is not a reliable early biomarker of response to SRS.

Early changes in intracellular to extracellular water exchange rate constant, \( k_{IE} \), (within 1 week after treatment) were capable of predicting tumor behavior in patients with PR and in patients with SD and PD. As reported in Table 2, there was a statistically significant difference between the changes in the intra–extracellular exchange rate constant, \( k_{IE} \), for the PR group compared with the SD and PD groups (1 week after treatment), and this parameter could separate the PR patients from the other 2 groups. However, there was no difference between PD and SD cohorts. There was also a high negative correlation \( (R = -0.76, P < .001) \) between the early changes in the intra–extracellular exchange rate constant, \( k_{IE} \), and relative change in tumor volume 1 month after treatment (Fig. 4).

The water exchange rate constant, \( k_{IE} \), increased in PR patients and decreased in SD and PD patients (suggesting the treatment was unsuccessful in inducing sufficient apoptosis in SD and PD). This trend was expected as a result of increased surface-to-volume ratio in cells undergoing apoptosis and, to a lesser extent, increased membrane permeability \( (10) \) in responding patients (PR). Additionally, for the 1 case that showed PR at 1 month but progressed at long term, there was a small change in \( k_{IE} \) at 1 week \( (\Delta k_{IE} = 0.6(s^{-1})) \), suggesting that by selecting a different cut-off threshold, this case could also be accurately classified. These results demonstrated that the intra–extracellular water exchange rate constant, \( k_{IE} \), could be used as an early biomarker for treatment response of brain metastasis to SRS.

In a majority of cases there were statistically significant changes in the extracellular–extravascular volume fractions, \( M_{0,E} \), 1 week after treatment. As reported in Table 2, this parameter was capable of separating the PR group from the SD and PD groups, and as shown in Figure 4 there was a relatively high correlation between early changes in this volume fraction and relative change in tumor volume 1 month after treatment \( (R = -0.62, P = .005) \). For the 1 case with PR at 1 month and progression at long term, the change in extracellular–extravascular volume fractions, \( M_{0,E} \), at 1 week was negative \( (\Delta M_{0,E} = -3(\%)) \), which is similar to the PD group. These results demonstrate that \( M_{0,E} \) is also a promising candidate for assessing tumor response to SRS.

Stereotactic radiosurgery is expected to have anti-vascular effects \( (4, 33-35) \), with severe vascular damage resulting in reduced blood perfusion. Such changes usually take place at early stages after treatment (1 week after treatment) \( (34, 35) \). As expected, in most cases there was a statistically significant change in the vascular compartment volume fraction 1 week after treatment. However, there was not a distinct trend in its changes, and it was not capable of separating the patient groups (Table 2).

Moreover, early change in the efflux rate constant, \( k_{ep} \), did not correlate with tumor response, and this parameter was unable to predict tumor behavior in different patient groups (Table 2). There was also no correlation between early changes in the efflux rate constant and relative change in tumor volume 1 month after SRS \( (R = -0.09, P = .72) \).

It is expected that approximately 20% to 25% of patients with brain metastasis do not respond to SRS 1 month after treatment \( (32, 36) \). In our patient population of 19 patients, 4 patients were classified as having PD, which demonstrated that our patient population was a representative group. However; a larger cohort of patients is required to confirm the relationship between the early changes in intra–extracellular water exchange rate constant, \( k_{IE} \), and tumor volume at 1 month after treatment with higher confidence.

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